

General information about company	
Scrip code	504673
Name of the company	UNIVERSAL PRIME ALUMINIUM LIMITED
Whether company is SME	No
Class of Security	Equity Shares
Type of report	Quarterly
Quarter Ended / Half year ended/Date of Report (For Prelisting / Allotment)	31-03-2017
Date of allotment / extinguishment (in case Capital Restructuring selected) / Listing Date	
Shareholding pattern filed under	Regulation 31 (1) (b)

Sr. No.	Particular	Yes/No
1	Whether the Listed Entity has issued any partly paid up shares?	No
2	Whether the Listed Entity has issued any Convertible Securities ?	No
3	Whether the Listed Entity has issued any Warrants ?	No
4	Whether the Listed Entity has any shares against which depository receipts are issued?	No
5	Whether the Listed Entity has any shares in locked-in?	No
6	Whether any shares held by promoters are pledge or otherwise encumbered?	No
7	Whether company has equity shares with differential voting rights?	No

Table I - Summary Statement holding of specified securities											
Category (I)	Category of shareholder (II)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of (A+B+C)
								Class eg: X	Class eg:y	Total	
(A)	Promoter & Promoter Group	9	3823778			3823778	47.99	3823778		3823778	47.99
(B)	Public	28418	4143665			4143665	52.01	4143665		4143665	52.01
(C)	Non Promoter-Non Public										
(C1)	Shares underlying DRs										
(C2)	Shares held by Employee Trusts										
	Total	28427	7967443			7967443	100	7967443		7967443	100

Table I - Summary Statement holding of specified securities

Category (I)	Category of shareholder (II)	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
						No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
(A)	Promoter & Promoter Group				47.99					3823778
(B)	Public				52.01					1714554
(C)	Non Promoter-Non Public									
(C1)	Shares underlying DRs									
(C2)	Shares held by Employee Trusts									
	Total				100					5538332

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group											
Sr.	Category & Name of the Shareholders (I)	Nos. Of shareholders (III)	No. of fully paid up equity shares held (IV)	No. Of Partly paid-up equity shares held (V)	No. Of shares underlying Depository Receipts (VI)	Total nos. shares held (VII) = (IV)+(V)+(VI)	Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	Number of Voting Rights held in each class of securities (IX)			
								No of Voting (XIV) Rights			Total as a % of Total Voting rights
								Class eg: X	Class eg:y	Total	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group										
(1)	Indian										
(a)	Individuals/Hindu undivided Family	3	1654163			1654163	20.76	1654163		1654163	20.76
(d)	Any Other (specify)	6	2169615			2169615	27.23	2169615		2169615	27.23
Sub-Total (A)(1)		9	3823778			3823778	47.99	3823778		3823778	47.99
(2)	Foreign										
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)		9	3823778			3823778	47.99	3823778		3823778	47.99
B	Table III - Statement showing shareholding pattern of the Public shareholder										
(1)	Institutions										
(a)	Mutual Funds	2	123800			123800	1.55	123800		123800	1.55
(f)	Financial Institutions/ Banks	2	2594			2594	0.03	2594		2594	0.03
Sub-Total (B)(1)		4	126394			126394	1.59	126394		126394	1.59
(3)	Non-institutions										
(a(i))	Individuals - i. Individual shareholders holding nominal share capital up to Rs. 2 lakhs.	28311	3137745			3137745	39.38	3137745		3137745	39.38
(a(ii))	Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.	13	637441			637441	8	637441		637441	8
(b)	NBFCs registered with RBI	1	22920			22920	0.29	22920		22920	0.29
(e)	Any Other (specify)	89	219165			219165	2.75	219165		219165	2.75
Sub-Total (B)(3)		28414	4017271			4017271	50.42	4017271		4017271	50.42
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)		28418	4143665			4143665	52.01	4143665		4143665	52.01
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder										
Total (A+B+C2)		28427	7967443			7967443	100	7967443		7967443	100
Total (A+B+C)		28427	7967443			7967443	100	7967443		7967443	100

Table II - Statement showing shareholding pattern of the Promoter and Promoter Group									
Sr.	No. Of Shares Underlying Outstanding convertible securities (X)	No. of Shares Underlying Outstanding Warrants (Xi)	No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	Number of Locked in shares (XII)		Number of Shares pledged or otherwise encumbered (XIII)		Number of equity shares held in dematerialized form (XIV)
					No. (a)	As a % of total Shares held (b)	No. (a)	As a % of total Shares held (b)	
A	Table II - Statement showing shareholding pattern of the Promoter and Promoter Group								
(1)	Indian								
(a)				20.76					1654163
(d)				27.23					2169615
Sub-Total (A)(1)				47.99					3823778
(2)	Foreign								
Total Shareholding of Promoter and Promoter Group (A)=(A)(1)+(A)(2)				47.99					3823778
B	Table III - Statement showing shareholding pattern of the Public shareholder								
(1)	Institutions								
(a)				1.55					119200
(f)				0.03					1944
Sub-Total (B)(1)				1.59					121144
(3)	Non-institutions								
(a(i))				39.38					893358
(a(ii))				8					637441
(b)				0.29					22920
(e)				2.75					39691
Sub-Total (B)(3)				50.42					1593410
Total Public Shareholding (B)=(B)(1)+(B)(2)+(B)(3)				52.01					1714554
C	Table IV - Statement showing shareholding pattern of the Non Promoter- Non Public shareholder								
Total (A+B+C2)				100					5538332
Total (A+B+C)				100					5538332

Individuals/Hindu undivided Family				
Serial No.	1	2	3	
Name of the Shareholders (I)	PRAKASH KUMAR MOHTA	MAITREYI KANDOI	JAYANTIKA JATIA	Click here to go back
PAN (II)	AGUPM2260N	AFSPM9902J	AKWPM8544K	Total
No. of fully paid up equity shares held (IV)	1472370	99629	82164	1654163
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	1472370	99629	82164	1654163
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	18.48	1.25	1.03	20.76
Number of Voting Rights held in each class of securities (IX)				
Class eg:X	1472370	99629	82164	1654163
Class eg:y				
Total	1472370	99629	82164	1654163
Total as a % of Total Voting rights	18.48	1.25	1.03	20.76
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(Xi)(a) As a % of (A+B+C2)	18.48	1.25	1.03	20.76
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of Shares pledged or otherwise encumbered (XIII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	1472370	99629	82164	1654163
Reason for not providing PAN				

Reason for not providing PAN				
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Any Other (specify)						
Serial No.	1	2	3	4	5	6
Category	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate	Bodies Corporate
Name of the Shareholders (I)	PRATIBHA MANUFACTURING & MARKETING LTD.	PEE BEE STEEL INDUSTRIES LIMITED	AVADH MERCANTILE COMPANY LTD.	UNIVERSAL AUTOCRAFTS PVT. LTD	UNIVERSAL ENTERPRISES LIMITED	UNIQUE MANUFACTURING & MARKETING LTD.
PAN (II)	AACCP8287B	AABCP9424B	AACCA1137F	AAACU6272B	AAACU6089Q	AAACU3709R
No. of the Shareholders (I)	1	1	1	1	1	1
No. of fully paid up equity shares held (IV)	948025	718645	321483	96228	67340	17894
No. Of Partly paid-up equity shares held (V)						
No. Of shares underlying Depository Receipts (VI)						
Total nos. shares held (VII) = (IV)+(V)+ (VI)	948025	718645	321483	96228	67340	17894
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	11.9	9.02	4.03	1.21	0.85	0.22
Number of Voting Rights held in each class of securities (IX)						
Class eg: X	948025	718645	321483	96228	67340	17894
Class eg:y						
Total	948025	718645	321483	96228	67340	17894
Total as a % of Total Voting rights	11.9	9.02	4.03	1.21	0.85	0.22
No. Of Shares Underlying Outstanding convertible securities (X)						
No. of Shares Underlying Outstanding Warrants (Xi)						
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)						
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	11.9	9.02	4.03	1.21	0.85	0.22
Number of Locked in shares (XII)						
No. (a)						
As a % of total Shares held (b)						
Number of Shares pledged or otherwise encumbered (XIII)						
No. (a)						

As a % of total Shares held (b)						
Number of equity shares held in dematerialized form (XIV)	948025	718645	321483	96228	67340	17894
Reason for not providing PAN						

Any Other (specify)	
Serial No.	
Category	Click here to go back
Name of the Shareholders (I)	
PAN (II)	Total
No. of the Shareholders (I)	6
No. of fully paid up equity shares held (IV)	2169615
No. Of Partly paid-up equity shares held (V)	
No. Of shares underlying Depository Receipts (VI)	
Total nos. shares held (VII) = (IV)+(V)+ (VI)	2169615
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	27.23
Number of Voting Rights held in each class of securities (IX)	
Class eg: X	2169615
Class eg:y	
Total	2169615
Total as a % of Total Voting rights	27.23
No. Of Shares Underlying Outstanding convertible securities (X)	
No. of Shares Underlying Outstanding Warrants (Xi)	
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)	
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	27.23
Number of Locked in shares (XII)	
No. (a)	
As a % of total Shares held (b)	
Number of Shares pledged or otherwise encumbered (XIII)	
No. (a)	
As a % of total Shares held (b)	

Number of equity shares held in dematerialized form (XIV)	2169615
Reason for not providing PAN	

Mutual Funds		
Serial No.	1	
Name of the Shareholders (I)	CANARA ROBECO MUTUAL FUND A/C GAD	Click here to go back
PAN (II)	AAATC3901G	Total
No. of fully paid up equity shares held (IV)	119200	119200
No. Of Partly paid-up equity shares held (V)		
No. Of shares underlying Depository Receipts (VI)		
Total nos. shares held (VII) = (IV)+(V)+ (VI)	119200	119200
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	1.5	1.5
Number of Voting Rights held in each class of securities (IX)		
Class eg: X	119200	119200
Class eg:y		
Total	119200	119200
Total as a % of Total Voting rights	1.5	1.5
No. Of Shares Underlying Outstanding convertible securities (X)		
No. of Shares Underlying Outstanding Warrants (Xi)		
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)		
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	1.5	1.5
Number of Locked in shares (XII)		
No. (a)		
As a % of total Shares held (b)		
Number of equity shares held in dematerialized form (XIV)	119200	119200
Reason for not providing PAN		
Reason for not providing PAN		

Individuals - ii. Individual shareholders holding nominal share capital in excess of Rs. 2 lakhs.				
Serial No.	1	2	3	
Name of the Shareholders (I)	PRATIBHA KHAITAN	HITESH RAMJI JAVERI	BHUPENDRA V GANDHI	Click here to go back
PAN (II)	AFNPK7949A	AABPJ4691H	ABGPG4629J	Total
No. of fully paid up equity shares held (IV)	175271	100101	99131	374503
No. Of Partly paid-up equity shares held (V)				
No. Of shares underlying Depository Receipts (VI)				
Total nos. shares held (VII) = (IV)+(V)+ (VI)	175271	100101	99131	374503
Shareholding as a % of total no. of shares (calculated as per SCRR, 1957) (VIII) As a % of (A+B+C2)	2.2	1.26	1.24	4.7
Number of Voting Rights held in each class of securities (IX)				
Class eg: X	175271	100101	99131	374503
Class eg:y				
Total	175271	100101	99131	374503
Total as a % of Total Voting rights	2.2	1.26	1.24	4.7
No. Of Shares Underlying Outstanding convertible securities (X)				
No. of Shares Underlying Outstanding Warrants (Xi)				
No. Of Shares Underlying Outstanding convertible securities and No. Of Warrants (Xi) (a)				
Shareholding , as a % assuming full conversion of convertible securities (as a percentage of diluted share capital) (XI)= (VII)+(X) As a % of (A+B+C2)	2.2	1.26	1.24	4.7
Number of Locked in shares (XII)				
No. (a)				
As a % of total Shares held (b)				
Number of equity shares held in dematerialized form (XIV)	175271	100101	99131	374503
Reason for not providing PAN				
Reason for not providing PAN				

